

## **Conflict Minerals**

### **AIXTRON SE – Statement regarding Conflict Minerals**

AIXTRON is committed to a transparent, responsible and sustainable corporate governance and expects this from its supply chain partners as well. In January 2021, the EU Regulation on Conflict Minerals came into effect; its scope contains the same minerals as the Dodd-Frank Act does. However, the aim of the EU Regulation is to identify Conflict Minerals from every Conflict Affected and High Risk Area (CAHRA), not those from the DRC and surrounding countries solely. The emerging disclosure rules concern those companies that import the components containing 3TG into the EU territory.

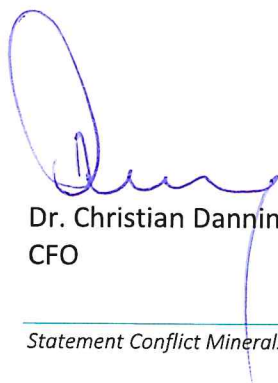
AIXTRON has implemented measures to meet the regulatory obligations by establishing due diligence processes. By this, transparency regarding the origin of Conflict Minerals at AIXTRON and partners within the preceding supply chain is being increased. The due diligence processes are conducted once a year. The due diligence processes at AIXTRON not only cover the 3TG as required by law, starting fiscal 2020, Cobalt, and starting fiscal 2022, Mica will also be added to the scope of the due diligence. As Cobalt is an essential part of batteries, its use has increased notably, especially for use in batteries for electric vehicles. The same applies to Mica, which is often used as an isolator.

To assure that the use of Conflict Minerals from critical sources, including Cobalt and Mica is identified, AIXTRON requires all its suppliers (of materials containing 3TG, Cobalt and Mica) to provide information concerning the origin of respective minerals.

If AIXTRON discovers the involvement of critical sources in its supply chain, reasonable steps to support supply chain partners will be taken to implement Conflict Minerals-compliant programs.

Continued non-compliance or the refusal to implement a due diligence process for Conflict Minerals by supply chain partners may ultimately lead to termination of the supplier relationship.

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